



**Office of Enterprise Compliance Report - Matrix
December 2008**

Attachment A

	DATE OF ASSIGNMENT	STATUS
1. Program Activities	Ongoing	<p>The Assistant Chief conducted one session of CalPERS "Working Values" as part of CalPERS L.E.A.D.E.R. training for new supervisors.</p> <p>The Assistant Chief conducted one session of New Employee Orientation, CalPERS "Working Values" in November.</p>
2. Reporting Non-Compliance Issues	Ongoing	Ongoing
3. Compliance Area Manager - Investments	Ongoing	<p>Compliance Area Manager (CAM), Investments is monitoring Global Equities and Fixed Income investments daily and providing weekly summary of compliance alerts to CCO. User access to automated systems monitored and monthly reconciliation reports reviewed.</p> <p>Working with CalPERS Investments Office and Legal Office on Investment policy review.</p> <p>Revising and documenting compliance monitoring processes for CAM Procedures Manual.</p> <p>CAM attended the National Society of Compliance Professionals national membership meeting.</p>



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4. Compliance Area Manager-Health	Ongoing	Worked with Health business line to assess risk of non-compliance with policies, rules, and regulations to determine compliance exposures and impact to CalPERS.
5. Compliance Area Manager- Pension	Ongoing	Worked with Pension business line to assess risk of non-compliance with policies, rules, and regulations to determine compliance exposures and impact to CalPERS.